

FORM PTO-1390  
(REV 12-2004)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

4197-125

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/552086

INTERNATIONAL APPLICATION NO.  
PCT/EP2004/003466INTERNATIONAL FILING DATE  
1 April 2004PRIORITY DATE CLAIMED  
4 April 2003

## TITLE OF INVENTION

CELLULOSED MOLDED ARTICLE HAVING A FUNCTIONAL EFFECT AND METHOD FOR  
PRODUCING THE SAME

## APPLICANT(S) FOR DO/EO/US

BÜTTNER, Reiner; MARKWITZ, Hardy; KNOBELSDORF, Carmen; BAUER, Ralf, and MEISTER, Frank

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unsigned)
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. <b>10/552086</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/003466</b>		ATTORNEY'S DOCKET NUMBER <b>4197-125</b>	
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21. The following fees are submitted:

<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00	\$300.00	
<input checked="" type="checkbox"/>	b) Examination fee	\$200.00	\$200.00	
<input checked="" type="checkbox"/>	c) Search fee	\$500.00	\$500.00	
TOTAL OF ABOVE CALCULATIONS =			\$1000.00	

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
65- 100			x 250.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	17 - 20 =	0	X \$50.00	
Independent claims	4 - 3 =	1	X \$200.00	200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00
TOTAL OF ABOVE CALCULATIONS =				\$1200.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL = \$600.00**

Processing fee of **\$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE = \$ 600.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property

**TOTAL FEES ENCLOSED = \$600.00**

	Amount to be:	\$
	refunded	
	charged	\$

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 08-3284 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

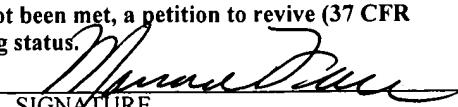
c. ☒ The Commissioner is hereby authorized to charge any deficiencies in filing fees which may be required, or credit any overpayment to Deposit Account No. 08-3284. A duplicate copy of this sheet is enclosed.

d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Steven J. Hultquist  
INTELLECTUAL PROPERTY/  
TECHNOLOGY LAW  
P.O. BOX 14329  
Research Triangle Park, NC 27709

  
SIGNATURE

Marianne Fuierer  
NAME

39983  
REGISTRATION NUMBER

10/552086

JC12 Rec'd PCT/PTC 04 OCT 2005

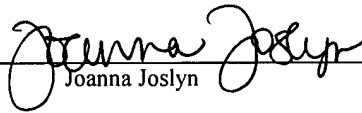
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>In re United States Patent Application of:</b>	)	<b>Docket No.:</b>	<b>4197-125</b>
<b>Applicant(s):</b>	)	<b>Examiner:</b>	<b>Not Yet Assigned</b>
<b>Application No.:</b>	)	<b>Art Unit:</b>	<b>Not Yet Assigned</b>
<b>Int'l Filing Date:</b>	)	<b>Customer No.:</b>	
<b>Priority Date:</b>	)		
<b>Title:</b>	)		

**23448**

**EXPRESS MAIL CERTIFICATE**

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 and Express Mailed under the provisions of 37 CFR 1.10.

  
Joanna Joslyn

October 4, 2005  
Date

EO 006 062 431 US  
Express Mail Label Number

**SUBMISSION UNDER 35 U.S.C. §371 OF UNITED STATES PATENT APPLICATION  
(NATIONAL PHASE PROCEEDINGS) BASED ON INTERNATIONAL APPLICATION NO.  
PCT/EP2004/003466 AND CLAIMING PRIORITY OF GERMAN PATENT APPLICATION NO.  
10315749.2**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

JC12 Rec'd PCT/PTC 04 OCT 2005

Submitted herewith for filing under the provisions of 37 CFR §1.53 and 35 U.S.C. §371 is the above-referenced patent application, based on International Patent Application No. PCT/EP2004/003466 and claiming priority of German Patent Application No. 10315749.2. A copy of the PCT International application as originally filed is included herewith. Further included is a Preliminary Amendment, return postcard, a credit card form in the amount of \$600.00 and 35 U.S.C. §371 transmittal forms.

Please direct correspondence relating to this application to Steven J. Hultquist, Intellectual Property Technology Law, P.O. Box 14329, Research Triangle Park, NC 27709, and direct telephonic communications relating to this application to Marianne Fuierer at (919) 419-9350.

Respectfully submitted,



Marianne Fuierer  
Registration No. 39,983  
Attorney for Applicants

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